

State of New Jersey  
 Department of the Treasury  
 Integrity Oversight Monitoring Reporting Model

Firm Name: Thacher Associates LLC  
 Engagement: NJ Transit - Gladstone Line Poles & Foundations Replacement Project  
 For Quarter Ending: 06/30/2017

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
<b>A. General Info</b>			
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	N/A	
4.	Award Type	Emergency Relief Program	
5.	Award Amount	\$13,308,080.00	Represents the contract value of the single contract in Thacher Associates current scope of work.
6.	Contract/Program Person/Title	Jignasa Desai-McCleary, Chief Procurement & Support Services	
7.	Brief Description, Purpose and Rationale of Project/Program	This project consists of installing new concrete foundations and steel poles on the Gladstone Branch of New Jersey Transit's (NJT) Morris & Essex Line.	
8.	Contract/Program Location	Gladstone Branch (New Providence to Gladstone Rail Yard)	
9.	Amount Expended to Date	\$ 12,495,977.58 through December 31, 2016; approved by New Jersey Transit and paid to Case Foundation Company (CFC).	
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	In Progress	
12.	Expected Contract End Date/Time Period	September 2017 (Est.)	
<b>B. Monitoring Activities</b>			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	N/A	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Thacher reviewed various documents obtained from NJT, CFC and L&R and performed related procedures in accordance with its integrity oversight monitoring work plan.	
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Thacher finalized its review of documents received from NJT including electronic copies of payment requisitions, certified payroll reports, Forms E and E2 filings, and procurement files. Thacher also performed procedures for Procurement, Contractor/Subcontractor, and Payroll risk categories.	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Thacher completed its review of monthly payment requisitions and certified payroll reports to assess CFC's and L&R's compliance with contractual and legal requirements in the areas of procurement, prevailing wage rules, and payment to contractor and subcontractor. Crescent Consulting (Crescent) - DBE sub-consultant to Thacher - provided ongoing support in its performance of DBE compliance activities pursuant to the project workplan.	

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17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	During the second quarter of 2017, Thacher finalized its review of payment requisitions submitted by CFC for periods covering the second half of 2016.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Thacher finalized its review of various filings and supporting documentation pertinent to payment requisitions, certified payroll reports submitted by CFC and L&R, prevailing wage requirements, and monthly Form E and Form E2 DBE utilization reports submitted by CFC and L&R through the end of 2016. All work on the project has been substantially complete.	
19.	Provide details of any integrity issues/findings	None.	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	None.	
21.	Provide details on any other items of note that have occurred in the past quarter	None.	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	None.	
<b>C. Miscellaneous</b>			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	For the period April 1, 2017 through June 30, 2017 Thacher expended 104.50 hours (see Comments for breakdown) and no expenses. This represents hours spent on document reviews, meetings with NJT, compiling supporting work papers, and drafting memorandums for submission to NJT. Additionally, Thacher filed prior quarterly reports with the Department of the Treasury for the quarters ended March 31, 2017, December 31, 2016, September 30, 2016, June 30, 2016, March 31, 2016, December 31, 2015, September 30, 2015, and June 30, 2015.	Chris Ward, Senior Associate - 3.25 Jose L. Velazquez, Project Manager - 96.25 Hector Calderon, Principal (DBE / Crescent Consulting) - 5.00
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None.	

Name of Integrity Monitor: Thacher Associates LLC  
 Name of Report Preparer: Jose L. Velazquez, Jr.  
 Signature:   
 Date: September 29, 2017